



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hannel et al.  
APPLICATION NO.: 09/720,277  
FILED: March 12, 2001  
TITLE: Generalized Policy Server  
EXAMINER: Vu  
ART UNIT: 2142  
ATTY.DKT.NO.: PA3544US

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By:

Kenneth M. Kaslow

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98, enclosed herewith is form PTO-SB-08 listing several references. A copy of each patent reference is no longer submitted pursuant to the OG Notice of August 5, 2003. A copy of certain non-patent references are not produced as those particular references have been previously produced in the parent application—U.S. patent application number 09/034,507; the

present application claims priority benefit to application number 09/034,507 under 35 U.S.C. § 120. As such, a copy of these references are not provided pursuant to 37 C.F.R. § 1.98(d). Those references not previously identified in 09/034,507 are enclosed herewith.

The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

Respectfully submitted,  
Clifford L. Hannel et al.

February 24, 2006

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PTO/SB/08A (08-03)

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Sheet 1 of 7

**Complete if Known**

Application Number	09/720,277
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Art Unit	2142
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**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4919545	04-24-1990	Yu	
		US- 4956769	09-11-1990	Smith	
		US- 4961224	10-02-1990	Yung	
		US- 5012405	04-30-1991	Nishikado et al.	
		US- 5117349	05-26-1992	Tirfing et al.	
		US- 5220604	06-15-1993	Gasser et al.	
		US- 5249230	09-28-1993	Mihm et al.	
		US- 5263157	11-16-1993	Janis	
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		US- 5265221	11-23-1993	Miller	
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		US- 5276870	01-04-1994	Shan et al.	
		US- 5276901	01-04-1994	Howell et al.	
		US- 5335346	08-02-1994	Fabbio	
		US- 5423034	06-06-1995	Cohen-Levy et al.	
		US- 5438508	08-01-1995	Wyman	
		US- 5442342	08-15-1995	Kung	
		US- 5446903	08-29-1995	Abraham et al.	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		UK 2317539	03-25-1998	Secure Computin		
		WO 96/05549	02-22-1996	Internet Dyn.		
		WO 97/00471	01-03-1997	Checkpoint Soft		
		WO 00/79434	12-28-2000	Internet Dyn.		
		EP 0736827	10-09-1996	Hewlett Packard		

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Sheet 2 of 7

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		US- 5455945	10-03-1995	VanderDrift	
		US- 5455953	10-03-1995	Russell	
		US- 5504890	04-02-1996	Sanford	
		US- 5534855	07-09-1996	Shockley et al.	
		US- 5553282	09-03-1996	Parrish et al.	
		US- 5568613	10-22-1996	Futral	
		US- 5652787	07-29-1997	O'Kelly	
		US- 5675782	10-07-1997	Montague et al.	
		US- 5696898	12-09-1997	Baker et al.	
		US- 5701458	12-23-1997	Bsaibes et al.	
		US- 5706427	01-06-1998	Tabuki	
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		US- 5720023	02-17-1998	Putland et al.	
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		US- 5748890	05-05-1998	Goldberg et al.	
		US- 5752245	05-12-1998	Parrish et al.	
		US- 5758083	05-26-1998	Singh et al.	
		US- 5771291	06-23-1998	Newton et al.	

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		US- 5774650	06-30-1998	Chapman et al.	
		US- 5787427	07-28-1998	Benantar et al.	
		US- 5787428	07-28-1998	Hart	
		US- 5793964	08-11-1998	Rogers et al.	
		US- 5796951	08-18-1998	Hamner et al.	
		US- 5826010	10-20-1998	Joseph et al.	
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		US- 5841970	11-24-1998	Tabuki	
		US- 5859978	01-12-1999	Sonderegger et al.	
		US- 5862325	01-19-1999	Reed et al.	
		US- 5864683	01-26-1999	Boebert et al.	
		US- 5898830	04-27-1999	Wesinger, Jr. et al.	
		US- 5911143	06-08-1999	Deinhart et al.	
		US- 5911776	06-15-1999	Guck	
		US- 5931917	08-03-1999	Nguyen et al.	
		US- 5941947	08-24-1999	Brown et al.	
		US- 5951649	09-14-1999	Dobbins et al.	

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09/720.277

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**First Named Inventor**

Hannel et al.

### Art Unit

2142

Examiner Name \_\_\_\_\_

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Attorney Docket Number

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**NON PATENT LITERATURE DOCUMENTS**

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		AZIZ et al., "Simple Key-Management for Internet Protocols (SKIP)," Proc. of INET, 1995.	
		RINGEY et al., "Remote Authentication Dial In User Service (RADIUS)," RFC 2138, April 1997.	
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		FORD, William, "Administration in a Multiple Policy/Domain Environment: The Administration and Melding of Disparate Policies," IEEE 1995.	
		SANDHU et al., "Access Control: Principles and Practice," IEEE Communications Magazine, September 1994.	
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		"Access Control List View for Objects," IBM Technical Disclosure Bulletin, Vol. 35, Issue 5, October 1992.	
		Forta et al., "ColdFusion 4.0 Web Application Construction Kit, 3d Edition, Chapter 8: Introduction to SQL," Que, 1998.	
		MARRIOTT et al, "Implementation of a Management Agent for Interpreting Obligation Policy," IEEE/IFIP 7th Int'l Workshop on Distributed Operations and Management, 1996.	
		EDWARDS, K., "Policies and Roles in Collaborative Applications," Proc. of the ACM 1996 Conf. on Computer Supported Cooperative Work, Nov. 1996.	

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		LAMPSON et al., "Authentication in Distributed Systems: Theory and Practice," Proc. of the 13th ACM Symp. on Operating Systems Principles, Oct. 1991.	
		GLADNEY, H., "Access Control for Large Collections," ACM Trans. on Information Systems, Vol. 15, No. 2, Apr. 1997.	
		SHEN et al., "Access Control for Collaborative Environments," Conf. Proc. on Computer-Supported Collaborative Work, ACM, Nov. 1992.	
		REITER et al., "Integrating Security in a Group Oriented Distributed System," Proc. of Research in Security & Privacy, 1992, IEEE, May 1992.	
		"CheckPoint FireWall-1," White Paper, Version 2.0, June 1995.	
		YU, C. "Access Control and Authorization Plan for Customer Control of Network Services," IEEE Global Telecommunications Conference and Exhibition, Vol. 2, Nov. 1989.	
		TOY, M., "AT&T's Electronic Mail Service for Government Users--FTS2000MAIL," Globecom '92, IEEE, Vol. 2, Dec. 1992.	
		"Commercial Firewalls and Related FW Products," March 1996.	
		"Five Domains of Network Security: Technical Overview of the Eagle," Jan. 1997.	
		"Firewalls and Security Related Information"	

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		"Checkpoint FireWall-1," Metadigm Ltd., 1996.	
		Computer Dictionary, 2d ed., Microsoft Press, Redmond, Washington, p. 215, Oct. 1993.	

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